

PATENTS

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Atty's Docket No.
2936.169/00

EXPRESS MAIL CERTIFICATION

"Express" Mail label number: **EL 643059733 VS**

(A) Date of Deposit: **June 12, 2000**

I hereby certify that this transmittal letter and the papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated at (A) above and are addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231

Name of Person mailing the above: **Kathleen D. Monical**

Signature of Person mailing the above item

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)**

International Application No : PCT/DE98/03763

International Filing Date : 14 December 1998 (14.12.98)

Priority Date Claimed : 12 December 1997 (12.12.97)

Title of Invention : Agent for Gene Therapy of Tumor, Neurodegenerative Cardiovascular and
Autoimmune Diseases

Applicant(s) for DO/EO/US : Regina Reszka and Antje Berndt

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items under 35 U.S.C. 371:

1. ☒ This express request to immediately begin national examination procedures (35 U.S.C. 371(f).
2. ☒ The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees as follows:

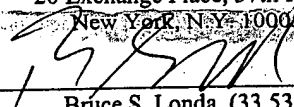
TOTAL CLAIMS 33 - 20 =	CLAIMS OVER 20 13	RATE X \$18 =	TOTAL FEES FOR CLAIMS OVER 20 234.00
NUMBER OF INDEPENDENT CLAIMS 1 - 3 =	CLAIMS OVER 3 --	RATE X \$78 =	TOTAL FEES FOR INDEPENDENT CLAIMS OVER 3 --
MULTIPLE DEPENDENT CLAIM(S) PRESENT No	RATE \$260 per APPLN.		FEE MULTIPLE DEPENDENT CLAIM(S) --
BASIC NATIONAL FEE (37CFR 1.492(a)(1)-(4)): <ul style="list-style-type: none"> — International preliminary Examination fee paid to USPTO (37 CFR 1.482) = \$670.00 — No International preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) = \$760.00 — Neither international preliminary examination fee (37 CFR 1.482) nor International Search fee (37 CFR 1.445(a)(2)) paid to USPTO = \$970.00 — International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)(2) to (4) = \$96.00 — Filing with an EPO or JPO search report = \$840.00 			840.00
Surcharge of \$130 for furnishing the national fee or oath or declaration 20 mos. from the earliest claimed priority date (37 CFR 1.482(e)).			130.00
TOTAL OF ABOVE CALCULATIONS			970.00
Reduction by 1/2 for filing by small entity			
SUBTOTAL			970.00
Process fee of \$130 for furnishing the English translation later than 20 mos from the earliest claimed priority date (37 CFR 1.482(f))			130.00
TOTAL NATIONAL FEE			1,100.00
Fee for recording the enclosed assignment			
TOTAL FEES ENCLOSED			\$ 1,100.00

Attorney's Docket No. 2936.169/00

- a. ☒ A check in the amount of \$1,100.00 to cover the above fees is enclosed.
b. ☐ Please charge my Deposit Account No. 04-2216 in the amount of \$ to cover the above fees.
A duplicate copy of this sheet is enclosed.
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-2216. A duplicate copy of this sheet is enclosed.
3. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
b. ☐ is not required, as the application was filed in the United States Receiving Office.
c. ☒ has been transmitted by the International Bureau.
4. ☐ A translation of the International Application into English.
5. Amendments to the claims of the International Application under PCT Article 19
a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
b. ☐ have been transmitted by the International Bureau.
6. ☐ A translation of the amendments to the claims under PCT Article 19
7. ☐ An oath or declaration of the inventor [35 U.S.C. 371(c)(4)]
8. ☐ A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Other document(s) or information included:
9. ☒ Preliminary Amendment
10. ☐ An assignment document for recording. Please mail the recorded assignment document to the undersigned.
11. ☐ The above checked items are being transmitted
a. ☐ before the 18th month publication.
b. ☐ after publication and the Article 20 communication but before 20 months from the priority date.
c. ☐ after 20 months (surcharge and/or processing fee included).
Note: Petition to revive (37 CFR 1.137(a) or (b)) is necessary if 35 U.S.C. 371 requirements submitted after 20 months and no proper demand for International Preliminary Examination was made by 19 months from the earliest claimed priority date.
e. ☒ by 30 months and a proper demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
f. ☐ after 30 months (surcharge and/or processing fee included).
Note: Petition to revive (37 CFR 1.137(a) or (b)) is necessary if 35 U.S.C. 371 requirements submitted after 32 months and a proper demand for International Preliminary Examination was made by 19 months from the earliest claimed priority date.
12. At the time of transmittal, the time limit for amending claims under Article 19
a. ☒ has expired and no amendments were made.
b. ☐ has not yet expired.
13. ☐ Certain requirements under 35 U.S.C. 371 were previously submitted by the applicant on _____ namely:

Please direct all communications in connection with this application to the undersigned at

LONDA AND TRAUB LLP
20 Exchange Place, 37th Floor
New York, N.Y. 10005


Bruce S. Londa (33,531)